

Rockefeller Funds

Enclosed is the 2020 Tax Form for your account with the Rockefeller Funds. The Form provides information on any applicable 2020 distributions and proceeds from redemptions. Please note that all information contained in this Statement has been provided to the Internal Revenue Service. In addition to the tax information provided on your enclosed Tax Form, below is supplemental information to assist you with the preparation of your 2020 income tax returns. Please consult your tax advisor for the specific application of any items below relating to the preparation of your tax returns.

Income subject to the Alternative Minimum Tax

Some of the income received from a mutual fund may be exempt from federal income tax, but subject to the Alternative Minimum Tax. The following table shows the percentage of total ordinary income dividends that was attributable to income earned from securities subject to the Alternative Minimum Tax:

<u>Fund Name</u>	<u>% of Income Attributable to Securities Subject to the AMT</u>
Rockefeller Intermediate Tax Exempt National Bond Fund	0.00%
Rockefeller Intermediate Tax Exempt New York Bond Fund	0.00%

Please consult your tax adviser if you have any questions regarding the percentage of income subject to the Alternative Minimum Tax.

Income by State or U.S. Territory

The following charts provide information to assist you in determining the source of interest income earned on a state-by-state basis for the Rockefeller Funds. Of course, the tax treatment of income paid by the portfolio depends upon the laws of your state or local authority. If you have any questions about the local tax treatment, we recommend you consult your tax advisor.

Note: For additional help in completing your state and local returns, please refer to your 2020 year-end statement that shows the non-taxable portion of your income.

State	Intermediate Tax Exempt National Bond Fund
Alabama	0.00%
Alaska	3.15%
Arizona	1.85%
Arkansas	0.00%
California	0.00%
Colorado	1.46%
Connecticut	2.73%
Delaware	0.00%
Dist of Columbia	0.00%
Florida	3.36%
Georgia	0.00%
Guam	0.00%
Hawaii	0.00%
Idaho	0.00%
Illinois	8.66%
Indiana	0.00%
Iowa	0.61%
Kansas	1.57%
Kentucky	0.01%
Louisiana	0.00%
Maine	0.00%
Maryland	0.22%
Massachusetts	5.10%
Michigan	0.00%
Minnesota	1.49%
Mississippi	0.00%
Missouri	0.00%

State	Intermediate Tax Exempt National Bond Fund
Montana	0.00%
Nebraska	1.90%
Nevada	5.59%
New Hampshire	0.00%
New Jersey	1.55%
New Mexico	0.00%
New York	14.75%
North Carolina	0.58%
North Dakota	0.00%
Ohio	2.79%
Oklahoma	0.00%
Oregon	0.00%
Other	0.11%
Pennsylvania	7.90%
Puerto Rico	0.00%
Rhode Island	0.00%
South Carolina	0.00%
South Dakota	0.00%
Tennessee	0.42%
Texas	20.41%
Utah	0.25%
Vermont	0.00%
Virginia	2.29%
Washington	7.29%
West Virginia	0.00%
Wisconsin	3.89%
Wyoming	0.00%
Virgin Islands	0.00%

State	Intermediate Tax Exempt New York Bond Fund	State	Intermediate Tax Exempt New York Bond Fund
Alabama	0.00%	Montana	0.00%
Alaska	0.00%	Nebraska	0.00%
Arizona	0.00%	Nevada	0.00%
Arkansas	0.00%	New Hampshire	0.00%
California	0.00%	New Jersey	0.00%
Colorado	0.00%	New Mexico	0.00%
Connecticut	0.00%	New York	92.80%
Delaware	0.00%	North Carolina	0.00%
Dist of Columbia	0.00%	North Dakota	0.00%
Florida	0.00%	Ohio	0.00%
Georgia	3.17%	Oklahoma	0.00%
Guam	0.00%	Other	0.15%
Hawaii	0.00%	Oregon	0.00%
Idaho	0.00%	Pennsylvania	0.00%
Illinois	0.00%	Puerto Rico	0.00%
Indiana	0.00%	Rhode Island	0.00%
Iowa	0.00%	South Carolina	0.00%
Kansas	0.00%	South Dakota	0.00%
Kentucky	0.00%	Tennessee	0.00%
Louisiana	0.00%	Texas	3.88%
Maine	0.00%	Utah	0.00%
Maryland	0.00%	Vermont	0.00%
Massachusetts	0.00%	Virginia	0.00%
Michigan	0.00%	Washington	0.00%
Minnesota	0.00%	West Virginia	0.00%
Mississippi	0.00%	Wisconsin	0.00%
Missouri	0.00%	Wyoming	0.00%
		Virgin Islands	0.00%

Income from U.S. Government Obligations

Some states do not tax their residents on income from a mutual fund that is earned from U.S. Government Obligations. The following table shows the percentage of total ordinary income dividends shown in Box 1a of your 2020 1099-DIV statement that were attributable to interest earned from direct U.S. Government Obligations:

<u>Fund Name</u>	<u>% of Ordinary Income Attributable to Direct U.S. Govt. Obligation (See Box 1)</u>
Rockefeller Intermediate Tax Exempt National Bond Fund	0.00%
Rockefeller Core Taxable Bond Fund	18.87%
Rockefeller Intermediate Tax Exempt New York Bond Fund	0.00%
Rockefeller Equity Allocation Fund	0.00%

Depending on your state's personal income tax laws, you may be entitled to declare the above portion of your 2020 ordinary income dividends (Box 1a) as tax-exempt income on your state personal income tax return. However, some states do not allow the "pass through" of this exempt-interest income unless the percentage earned from U.S. Government Obligations exceeds certain thresholds or unless other requirements are met. Please consult your tax adviser or state authorities if you have any questions regarding the percentage of income you can exclude when calculating your state income tax.

----- **Rockefeller Equity Allocation Fund** -----

Income from Foreign Sources Subject to Foreign Tax Credit:

For the year ended December 31, 2020, the **Rockefeller Equity Allocation Fund** earned foreign source income and paid foreign taxes which they intend to pass through to their shareholders pursuant to Section 853 of the Internal Revenue Code.

See below to determine the foreign taxes paid and the foreign source income.

- 1) The shareholder's portion of foreign taxes paid (see Box 7 of your 1099-DIV statement for this information).
- 2) The shareholder's amount of foreign source gross income. This amount can be calculated as a percentage of your ordinary dividend shown in Box 1 of your 2020 Tax Form. Please see the information below for your foreign source gross income amount.

Fund Name	Foreign Source Gross Income (Use % listed below and apply to Ordinary Income as shown in Box 1 to compute)
Rockefeller Equity Allocation Fund	72.78%